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## Mitochondria and Alzheimer's: Is PTC1 the Smoking Gun?

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### Abstract

Multiple features distinguish the mitochondria of Alzheimer's disease (AD) patients from those of unaffected individuals. The causes and consequences of these differences are informed by the recent finding by Fleck *et al.* that pentatricopeptide repeat-containing protein 1 (*PTCD1*) gene variants associate with AD. This suggests a proximal or initiating role for mitochondria in AD.

### Keywords

Alzheimer's disease; mitochondria; PTC1

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One way to interrogate the etiology of Alzheimer's disease (AD) is to compare gene data of affected and unaffected individuals. Using this strategy, in 1991 investigators focused on the amyloid precursor protein (*APP*) gene in autosomal dominant kindreds and identified a disease-segregating variant. Shortly thereafter, linkage analysis approaches implicated a role for two presenilin (*PSEN*) genes in autosomal dominant cases and revealed associations between *APOE* population variants and late onset AD (LOAD) [1].

The development of genome wide association studies (GWAS) at the century's turn facilitated identification of additional LOAD-relevant genes. The list of recognized genes grew to over 30 as analyzed cohorts increased in size and GWAS chips incorporated more variants [2]. Sorting the genes on this list into functional categories defined specific areas of biologic interest, including cholesterol/lipid metabolism, immune response, and endocytosis. Because GWAS chips generally include common variants, though, these studies likely missed genes with associations driven by rare variants.

Whole exome sequencing (WES) provides more comprehensive gene detail and is more suited than chips for detecting rare variant associations. Recently, Fleck *et al.* analyzed WES data using gene burden testing in nearly 8,000 samples from the Alzheimer's Disease

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Sequencing Project (ADSP) and found rare variants in the pentatricopeptide repeat-containing protein 1 (*PTCD1*) gene associated with increased LOAD risk [3]. The lead single nucleotide variant, rs35556439, did not meet strict genome-wide significance in the ADSP analysis likely due to low power, but was nominally associated with AD (OR=1.6,  $p = 4.3e^{-6}$ ) in a whole-exome microarray analysis of three independent datasets with a combined sample size of 49,493.

Because the *PTCD1* gene product localizes to mitochondria, the authors evaluated the functional consequences of *PTCD1* knock out (KO), knock down (KD), and single nucleotide variation (rs35556439, which causes an R113W substitution). These cell culture-based experiments revealed *PTCD1* KO profoundly reduced cell oxygen consumption, increased glycolysis flux, and perturbed mitochondrial structure. KO cells lacked 16S ribosomal RNA and mitochondrial DNA (mtDNA) encoded electron transport chain (ETC) subunits, which suggests the *PTCD1* protein supports translation of mtDNA-derived mRNAs. In cultured neurons, KD resulted in lower ATP levels and altered synaptic activity. Changes in R113W cells were subtler, though still detectable. Together, the genetic and functional data from this report argue *PTCD1* variants influence AD risk by affecting mtDNA gene translation.

The authors point out that mitochondrial dysfunction caused by *PTCD1* missense variants, including rs35556439, may impair neuron and microglia oxidative phosphorylation. This could reduce microglial clearance of beta amyloid ( $A\beta$ ) and facilitate its deposition. Such a scenario is consistent with the amyloid hypothesis, and echoes prior speculation that *TREM2* variants might promote AD by impeding microglial bioenergetics and, consequently,  $A\beta$  phagocytosis [4]

Regardless of whether this mechanism is correct, the Fleck et al. study indicates mitochondrial pathology in AD can exist independent of  $A\beta$ . AD mitochondrial abnormalities are pervasive, and AD patient-derived mitochondria differ from control subject mitochondria on multiple parameters [5, 6]. For example, AD patient mitochondria are on average smaller and the fission-fusion balance shifts towards fission. Activities of some Krebs cycle enzymes and pyruvate dehydrogenase are altered, and cytochrome oxidase activity is reduced. There is less PCR-amplifiable mtDNA, and mtDNA deletions and oxidative modifications increase in AD brain. The basis and relevance of these and other differences are unclear, but available data provide insight. Mitochondrial alterations, as observed within the brain, are also present outside the brain, which implies that the observed phenomena are not simply neurodegeneration-induced. Mitochondrial perturbations persist in cytoplasmic hybrid (cybrid) cell lines generated via mtDNA transfer [7], which indicates mtDNA contributes at least partly to observed functional differences. Epidemiologic and endophenotype studies further suggest a potential heritable mtDNA contribution. The Fleck et al. study is also consistent with the possibility that AD mitochondrial dysfunction arises and exists independent of an amyloid cascade.

Genetic association approaches identify disease-relevant loci in an unbiased manner. Extending an association from a locus to a gene can introduce bias, although the use of WES in the Fleck et al. study somewhat reduces this concern. To this point, *PTCD1* is within 1

megabase of the AD-associated *ZCWPW1* locus but was not previously considered a candidate gene. Also, because proteins can have multiple functions, assigning biological significance can introduce bias. In this case, the mitochondrial localization of *PTCD1* suggests the coding variants very likely influence AD risk through effects on mitochondrial function.

AD-associated *PTCD1* variants are rare, which could imply mitochondria infrequently influence the disease. We feel there is reason to question this position. It is hard to disentangle mitochondria from other recognized biological processes such as lipid/cholesterol homeostasis and immune responses. Notably, *APOE4*-encoded peptides yield a mitochondria-targeted fragment that lowers cytochrome oxidase activity [8]. Mitochondria, therefore, may potentially mediate the *APOE*-AD association. The products of other AD-associated genes are also found in or on mitochondria, while others remotely influence mitochondrial function. Figure 1 shows AD-associated genes that could plausibly affect, directly or indirectly, mitochondrial function. Most of these genes were previously assigned to other biological modules, but it is perhaps worth examining their roles from a mitochondrial perspective.

In addition to *PTCD1*, over 1000 nuclear genes generate mitochondria-localized proteins. AD GWAS interrogate variants in many of these genes. Investigators recently used AD GWAS data to define a mitochondrial polygenic risk score (PGRS), which in turn was found to interact with mtDNA haplogroups to influence AD risk [9]. Efforts are underway to define an mtDNA-specific PGRS and investigate interactions with the nuclear PGRS on AD risk.

Studies that elucidate the effects of *PTCD1* gene variants in aging and/or AD transgenic animal models are needed. Regardless, the Fleck et al. study has considerable implications for how we approach the role of mitochondria in AD. While many investigators agree mitochondria are relevant, some view them as minor players while others envision a critical role or even postulate a “mitochondrial cascade” that leads the onset of AD pathogenesis [10]. What mitochondrial cascade proponents lacked until now, though, was a genetic smoking gun. In the AD paradigm wars, *PTCD1* could prove to be the smoking gun that kicks off an arms race.

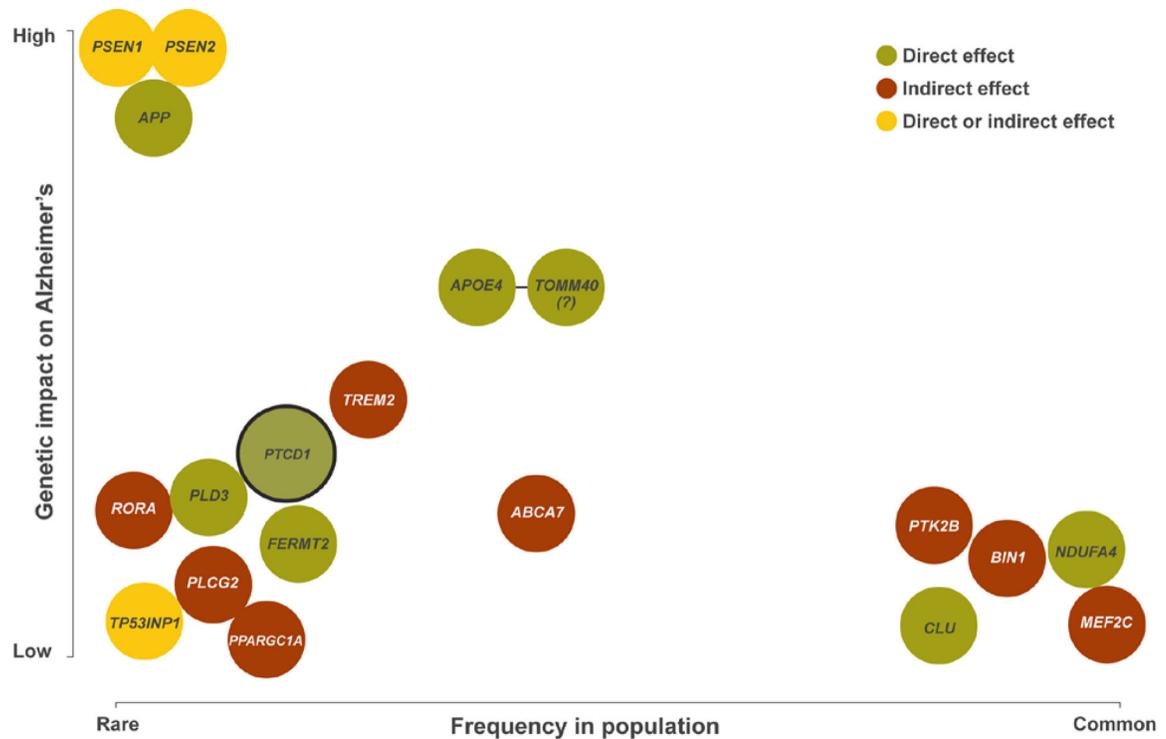
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**Figure 1. AD-associated genes whose products can reportedly localize to mitochondria or influence mitochondrial function.**

This figure includes genes said to associate with AD, usually through mechanisms unrelated to mitochondria (*PTCD1* is the obvious exception), but which could plausibly affect mitochondria. The figure indicates how relatively common the variant form of the gene is in the general population (x-axis), and the relative magnitude of the impact the variant form of the gene appears to have on disease risk (y-axis). Whereas locus detection is unbiased, assignment of the responsible gene is not (in one recent AD GWAS 18 of 24 loci had a gene encoding a mitochondrial-localized protein within a 1 megabase window) [2]. Similarly, biases can be involved in the proposed biologic explanations for why a specific gene or its product influences AD risk. Current studies indicate, or are consistent with the possibility, that multiple AD-associated genes directly or indirectly affect mitochondrial function. The genes shown here were detected through a variety of approaches including linkage, GWAS, WES, and gene-based analysis. A green background indicates a potential direct effect on mitochondria, a red background indicates a potential indirect effect, and a yellow background indicates potential direct and/or indirect effects. TOMM40 is indicated with a question mark because TOMM40 is in linkage disequilibrium with APOE, and it is unclear whether TOMM40 variants independently impact AD risk.